



County Hall
Cardiff
CF10 4UW
Tel: (029) 2087 2000

Neuadd y Sir
Caerdydd
CF10 4UW
Ffôn: (029) 2087 2000

AGENDA

| | |
|---------------------------------|--|
| Committee | AUDIT COMMITTEE |
| Date and Time of Meeting | MONDAY, 19 SEPTEMBER 2016, 2.00 PM |
| Venue | COMMITTEE ROOM 4 - COUNTY HALL |
| Membership | Councillor Ian Arundale (Chair) Councillors Howells, Kelloway, McGarry, Mitchell, Murphy, Weaver, Walker, Hugh Thomas and Professor Maurice Pendlebury |

*Time
approx.*

1 Apologies for Absence

To receive apologies for absence.

2 Declarations of Interest

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

3 Minutes (Pages 1 - 8)

To approve as a correct record the minutes of the meeting held on 27 June 2016.

4 Operational Matters (Pages 9 - 46)

2.10 pm

4.1 Annual Report on School Governance (including Balances) and Deficits (Nick Batchelar, Director Schools & Lifelong Learning) (2.10pm)

4.2 Supply Teachers Briefing Note (Nick Batchelar, Director Schools & Lifelong Learning) (2.30pm)

4.3 Response to Internal Audit Report (Highways Payroll Follow Up Review) (Andrew Gregory, Director City Operations) (2.40pm)

5 Finance (Pages 47 - 406)

3.00 pm

| | | |
|-----------|---|---------|
| 5.1 | Financial Update | |
| 5.2 | Statement of Accounts 2015/16 | |
| 5.3 | Audit of Financial Statement for City of Cardiff Council (ISA260) - WAO | |
| 5.4 | Cardiff & Vale of Glamorgan Pension Fund Statement of Accounts 2015/16 (contained within item 5.2 Appendix 1) | |
| 5.5 | Audit of Financial Statement Report – Cardiff & Vale of Glamorgan Pension Fund (ISA260) - WAO | |
| 5.6 | Welsh Pool Pension Fund Governance Aspects | |
| 6 | Governance and Risk Management (<i>Pages 407 - 414</i>) | 3.50 pm |
| 6.1 | Risk Management Update | |
| 7 | Wales Audit Office (<i>Pages 415 - 498</i>) | 4.00 pm |
| 7.1 | Annual Improvement Report (follow link to view Cabinet report). | |
| 8 | WAO Tracker/Other Studies (<i>Pages 499 - 536</i>) | 2.15 pm |
| 8.1 | Report Update | |
| 9 | Treasury Management (<i>Pages 537 - 566</i>) | 4.15 pm |
| 9.1 | Performance Report | |
| 9.2 | Annual Report | |
| 10 | Internal Audit (<i>Pages 567 - 650</i>) | 4.35 pm |
| 10.1 | Progress Report Update | |
| 10.2 | PSIAS Assessment | |
| 10.3 | Fighting Fraud and Corruption Locally | |
| 11 | Published Scrutiny Letters (<i>Pages 651 - 680</i>) | 4.55 pm |
| 11.1 | Correspondence between the Chairs of Scrutiny and Audit Committee | |
| 11.2 | Published Scrutiny Letters | |
| 12 | Outstanding Actions | 5.00 pm |
| 13 | Work Programme Update (<i>Pages 681 - 686</i>) | |

14 Urgent Business

15 Date of next meeting

The next meeting of the Committee is scheduled to take place on 29 November 2016.

David Marr

Interim Monitoring Officer

Date: Tuesday, 13 September 2016

Contact: Graham Porter, 029 2087 3401 g.porter@cardiff.gov.uk, 029 2087 3401, g.porter@cardiff.gov.uk

This document is available in Welsh / Mae'r ddogfen hon ar gael yn Gymraeg